

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2N-6769- US03		APPLICATION NO.: 10/705273	
			APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz			
			FILING DATE: November 10, 2003		GROUP: 3738	
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	5,091,211	2/25/92	Richard	427/44	
	AB	5,411,552	5/2/95	Andersen et al.	623/2	
	AC	5,649,952	7/22/97	Lam	606/198	
	AD	5,707,386	1/13/98	Schnepp-Pesch et al.	606/194	
	AE	5,876,432	3/2/99	Lau et al.	623/1	
	AF	5,925,061	7/20/99	Ogi et al.	606/198	
	AG	6,042,597	3/28/00	Kveen et al.	606/198	
	AH	6,056,775	5/2/00	Borghi et al.	623/1.16	
	AI	6,106,548	8/22/00	Roubin et al.	623/1.15	
	AJ	6,132,460	10/17/00	Thompson	623/1.15	
	AK	6,261,319	7/17/01	Kveen et al.	623/1.15	
	AL	6,340,366	1/22/02	Wijay	623/1.13	
	AM	6,355,057	3/12/02	DeMarais et al.	623/1.15	
	AN	6,358,274	3/19/02	Thompson	623/1.15	
	AO	6,471,720	10/29/02	Ehr et al.	623/1.15	
	AP	6,488,703	12/3/02	Kveen et al.	623/1.15	
	AQ	6,520,987	2/18/03	Plante	623/1.16	
	AR	6,533,808	3/18/03	Thompson	623/1.15	
	AS	6,540,777	4/1/03	Stenzel	623/1.16	
	AT	6,613,081	9/2/03	Kim et al.	623/1.15	
VB	AU	6,776,793	8/17/04	Brown et al.	623/1.15	
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
VB	BA	97/45073	12/4/97	WO		
VB	BB	99/44535	9/10/99	WO		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)						
VB	CA	SMART <sup>TM</sup> Stent Brochure, Cordis, a Johnson & Johnson company.				
EXAMINER			DATE CONSIDERED 11/27/06			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. 10/705273	
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz			
		FILING DATE: November 10, 2003		GROUP: 3738	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
✓	DA	60/076,946	3/5/98	Tseng et al.	
✓	DB	09/623,347	11/15/00	Tseng et al.	
✓	DC	10/474,848	12/29/03	Tseng et al.	
	DD				
	DE				
EXAMINER				DATE CONSIDERED	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. 10/705273		
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean Skubitz				
		FILING DATE: November 10, 2003		GROUP: 3738		
US APPLICATIONS RELATED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
	EB					
	EC					
	ED					
	EE					
	EF					
EXAMINER			DATE CONSIDERED 11/27/06			
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						

<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO.: Not yet assigned	
				APPLICANT: Brown et al.			
				FILING DATE: Concurrently herewith		GROUP: Not yet assigned	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
VB	AA	6,540,775	4/1/03	Fischell et al.	623/1.15		
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY			
	BA						
	BB						
	BC						
	BD						
	BE						
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)</b>							
	CA						
	CB						
	CC						
EXAMINER <i>[Signature]</i>			DATE CONSIDERED 11/27/06				
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UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. Not yet assigned	
		APPLICANT: Brown et al.			
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA				
	DB				
	DC				
	DD				
	DE				
EXAMINER				DATE CONSIDERED	
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US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2N-6769-US03		APPLICATION NO. Not yet assigned		
		APPLICANT: Brown et al.				
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned		
US APPLICATIONS REALTED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
<i>VB</i>	EA	09/197,278	11/20/98		Brown et al.	X
<i>VB</i>	EB	08/511,076	8/3/95		Brown et al.	X
<i>VB</i>	EC	08/396,569	3/1/95		Brown et al.	X
	ED					
	EE					
	EF					
EXAMINER <i>CMW</i>				DATE CONSIDERED <i>11/07/06</i>		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.						

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> <small>(Use several sheets if necessary)</small>				<b>ATTY DOCKET NO.:</b> S63.2-6769		<b>SERIAL NO.:</b> 09/197,278	
<b>APPLICANT:</b> Brian J. Brown et al.				<b>FILING DATE:</b> November 20, 2000		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
VB	AA 5,836,966	11/17/98	St. Germain	606	198		
VB	AB 5,938,697	8/17/99	Killian et al.	623	1		
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

RELATED APPLICATIONS					
EXAM'S INIT.	APPLICATION NO.	FILING DATE	INVENTOR(S)	RELATED BY	
				SUBJECT	PRIORITY (\$120)
	BA				
	BB				

FOREIGN PATENT DOCUMENTS							
EXAM'S INIT.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	CA						
	CB						
	CC						
	CD						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
EXAM'S INIT.	DA
	DB
	DC

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 11/27/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-6769

SERIAL NO.: 09/197,278

APPLICANT: Brian J. Brown et al.

FILING DATE: November 20, 1998

GROUP: 3731

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
✓	BA 96/26689	9/6/96	WO				
✓	BB 98/20810	5/22/98	WO				
✓	BC 297 16 476 U1	2/5/98	DE				
✓	BD 0 876 806 A1	11/11/98	EP				
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	
CB	
CC	

EXAMINER

DATE CONSIDERED

11/27/06

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-6769		<b>SERIAL NO.:</b> 09/197,278	
				<b>APPLICANT:</b> Brian J. Brown et al.			
				<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	AA	5,911,754	06/15/99	Kanesaka et al.	623	1	
✓	AB	5,922,021	07/13/99	Jang	623	1	
✓	AC	5,948,016	09/07/99	Jang	623	1	
✓	AD	5,954,743	09/21/99	Jang	606	198	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
	BA						
	BB						
	BC						
	BD						
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	CA	
	CB	
	CC	

<b>EXAMINER</b> <i>Shu</i>	<b>DATE CONSIDERED</b> 11/27/06
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<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2-6769		SERIAL NO.: 09/197,278	
				APPLICANT: Brian J. Brown et al.			
				FILING DATE: November 20, 1998		GROUP: 3738	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES      NO
<i>VB</i>	BA	298 16 878 U1	12/24/98	DE			(Abstract)
	BB						
	BC						
	BD						
	BE						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
CA		
CB		
CC		

EXAMINER <i>Wike</i>	DATE CONSIDERED <i>11/27/98</i>
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<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO:</b> 563.2-6769		<b>Application No:</b> 09/197,278	
<b>APPLICANT:</b> Brian J. Brown et al.				<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3738	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	AA	5,591,197	1/7/97	Orth et al.	606	198	
✓	AB	5,697,971	12/16/97	Fischell et al.	623	1	
✓	AC	5,718,713	2/17/98	Frantzen	606	198	
✓	AD	5,735,893	4/7/98	Lau et al.	623	1	
✓	AE	5,741,327	4/21/98	Frantzen	623	1	
✓	AF	5,755,776	5/26/98	Al-Saadon	623	1	
✓	AG	5,755,781	5/26/98	Javaraman	623	1	
✓	AH	5,776,161	7/7/98	Globerman	606	194	
✓	AI	5,776,183	7/7/98	Kanesaka et al.	623	1	
✓	AJ	5,807,404	9/15/98	Richter	623	1	
✓	AK	5,810,872	9/22/98	Kanesaka et al.	606	198	
✓	AL	5,824,059	10/30/98	Wijay	623	1	

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
✓	BA	297 01 758	3/27/97	DE			
✓	BB	297 02 671 U1	4/10/97	DE			
✓	BC	297 08 689 U1	7/17/97	DE			
✓	BD	297 08 879 U1	7/31/97	DE			
✓	BE	0 821 920 A1	2/4/98	EP			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
✓	CA Beyar et al, "The BeStent; The Parallel-Serial Jang Stents", <i>Handbook of Coronary Stents, Second Edition</i> , 158-171 & 229-234 (1998).
✓	CB Beyar et al, "Newer Stents: Materials and Designs", <i>LAGS Proceedings</i> , 9(5): 363-371 (June 1997).
✓	CC Roguin et al, "Acute and 30-Day Results of the Serpentine Balloon Expandable Stent Implantation in Simple and Complex Coronary Arterial Narrowings", <i>The American Journal of Cardiology</i> , 80:1155-1162 (November 1997).
✓	CD Roguin et al, "beStent-the serpentine balloon expandable stent: review of mechanical properties and clinical experience", <i>Artif Organs</i> , 22(3):243-249 (March 1998).

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> 11/27/98
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 Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO:</b> S63.2-6769		<b>Application No:</b> NA	
<b>APPLICANT:</b> Brian J. Brown et al.				<b>FILING DATE:</b> November 20, 1998		<b>Group:</b>	
<b>REFERENCE DESIGNATION</b>							
<b>FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION</b>							
EXAM'S INIT.	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
✓	BF	97/04721	WO				
	BG	97/14375	WO				
	BH	97/25937	WO				
	BI	97/32543	WO				
	BJ	97/32544	WO				
	BK	97/33534	WO				
	BL	97/40780	WO				
	BM	97/40781	WO				
	BN	97/40782	WO				
✓	BO	97/40783	WO				
✓	BP	97/40874	WO				
	BQ						
	BR						
	BS						
	BT						
	BU						
	BV						
	BW						
	BX						
	BY						
	BZ						
<b>EXAMINER</b> <i>[Signature]</i>			<b>DATE CONSIDERED</b> 11/27/06				
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				ATTY DOCKET NO: 563,2-5605		Serial No: 08/511,076	
APPLICANT: Brian J. Brown, Michael L. Davis				FILING DATE: August 3, 1995		Group: 3303	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	AA	4,553,545	11/19/1983	Maass et al.			
	AB	4,733,665	03/29/1988	Palmar			
	AC	4,739,762	04/26/1988	Palmar			
	AD	4,776,337	10/11/1988	Palmar			
	AE	4,830,003	05/16/1989	Wolff et al.			
	AF	4,856,516	08/15/1989	Hillstead			
	AG	4,886,062	12/12/1989	Wiktor			
	AH	4,913,141	04/03/1990	Hillstead			
	AI	4,994,071	02/19/1991	MacGregor			
	AJ	5,019,090	05/28/1991	Pinchuk			
✓	AK	5,035,706	07/30/1991	Gianfranco et al.			

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION								
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
✓	BA	0 606 165 A1	07/13/1994	EPO			X	
	BB	0 540 290 A2	05/05/1993	EPO			X	
	BC	0 364 787 B1	04/25/1990	EPO			X	
✓	BD	WO 94/17754	08/18/1994	PCT			X	
✓	BE	0 541 443 A1	05/12/1993	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
✓	CA	Manufacturing Processes for Engineering Materials, by Scrope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.
✓	CB	A View of Vascular Stents, by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, CIRCULATION, Vol. 79, No. 2, February 1989, pp. 445-457.
✓	CC	The Self-Expanding Mesh Stent, by Ulrich Sigwart, SECTION IV, Chapter 29, pp. 605-610.

EXAMINER <i>C. J. [Signature]</i>	DATE CONSIDERED <i>11/27/06</i>
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO: 563.2-5605		Serial No: 03/311,075	
				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3303	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
EXAM'S INT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
✓	AA	5,059,211	10/22/1991	Sack et al.			
	AB	5,102,417	04/07/1992	Palmar			
	AC	5,104,404	04/14/1992	Wolff			
	AD	5,133,732	07/23/1992	Wiktor			
	AE	5,135,536	08/04/1992	Hillstead			
	AF	5,195,984	03/23/1993	Schurz			
	AG	5,197,973	03/30/1993	Hess			
	AH	5,282,823	02/01/1994	Schwartz et al.			
	AI	5,292,331	03/03/1994	Bonczau			
✓	AJ	5,304,200	04/19/1994	Spaulding			
✓	AK	5,449,373	09/12/1995	Pinchasik et al.			

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	
✓ AL	6-4175	03/06/1994	Japan				
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
✓	AR	Japanese Infringement Search on Articulated Expandable Sients, Dated July 12, 1995.
✓	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421
✓	AT	Cambridge Dictionary of Science and Technology, Cambridge University Press, 123.

EXAMINER	DATE CONSIDERED <u>4/27/06</u>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/311,076	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3303	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
✓	AA 3,657,744	04/25/1972	Ersek				
	AB 4,141,364	02/27/1979	Schultze				
	AC 4,681,110	07/21/1987	Wiktor				
	AD 4,693,721	09/15/1987	Ducheyne				
	AE 4,786,507	09/06/1988	Fischell et al.				
	AF 4,769,029	09/06/1988	Patel				
	AG 4,787,899	11/29/1988	Lazarus				
	AH 4,830,003	05/16/1989	Wolff et al.				
	AI 4,856,516	08/15, 1989	Hillstead				
	AJ 4,922,905	05/08/1990	Strecker				
	AK 4,950,227	03/21/1990	Savin et al.				
	AL 4,950,258	08/21/1990	Kawai et al.				
	AM 4,994,071	02/19/1991	MacGregor				
	AN 5,015,253	05/14/1991	MacGregor				
	AO 5,037,392	08/06/1991	Hillstead				
	AP 5,064,435	11/12/1991	Porter				
	AQ 5,092,877	03/03/1992	Pinchuk				
	AR 5,104,399	04/14/1992	Lazarus				
	AS 5,108,415	04/28/1992	Pinchuk et al.				
	AT 5,108,417	04/28/1992	Sawyer				
	AU 5,122,154	06/16/1992	Rhodes				
	AV 5,139,480	08/18/1992	Hickie et al.				
	AW 5,147,385	09/15/1992	Beck et al.				
	AX 5,147,400	09/15/1992	Kaplan et al.				
✓	AY 5,158,548	10/27/1992	Lau et al.				
EXAMINER <i>John</i>		DATE CONSIDERED <i>11/27/06</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified)				ATTY DOCKET NO: 563.2-5605		Serial No: 08:511,076	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3303	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS				
EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	AA	5,217,483	06/03/1993	Tower			
	AB	5,163,952	11/17/1992	Froix			
	AC	5,226,913	07/13/1993	Pinchuk			
	AD	5,282,824	02/01/1994	Gianfranco			
	AE	5,344,425	09/06/1994	Sawyer			
	AF	5,354,308	10/11/1994	Simon et al.			
	AG	5,354,309	10/11/1994	Schnepp-Pesch et al.			
	AH	5,383,892	01/24/1995	Cardon et al.			
	AI	5,405,377	04/11/1995	Cragg			
	AJ	2,836,181	05/27/1958	J.S. Tapp			
	AK	3,509,883	05/05/1970	Dibelius			
	AL	3,526,228	09/01/1970	Lyng			
	AM	4,300,244	11/17/1981	Bokros			
	AN	4,319,363	03/16/1982	Ketharanathan			
	AO	4,441,215	04/10/1984	Kaster			
	AP	4,470,407	09/11/1984	Husseini			
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
	AR	4,740,207	04/26/1988	Kreanier			
	AS	4,760,849	08/02/1988	Kropf			
	AT	4,762,128	08/09/1988	Rosenbluth			
	AU	4,771,773	09/20/1988	Kropf			
	AV	4,795,458	01/03/1989	Regan			
	AW	4,820,298	04/11/1989	Leveen et al.			
	AX	4,877,030	10/31/1989	Beck et al.			
✓	AY	3,868,956	04/04/1975	Alfidi et al.			

EXAMINER <i>[Signature]</i>	DATE CONSIDERED <i>10/27/86</i>
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<i>Ch</i> <div style="border-left: 1px solid black; height: 100%; margin: 0 auto; width: 20px;"></div>	AA	4,140,126	02/20/1979	Choudhury			
	AB	4,503,569	03/12/1985	Dotter			
	AC	4,512,338	04/23/1985	Balko et al.			
	AD	4,553,545	11/19/1985	Maass et al.			
	AE	4,560,374	12/24/1985	Hammerslag			
	AF	4,647,416	03/03/1987	Seiler, Jr. et al.			
	AG	4,649,922	03/17/1987	Wiktor			
	AH	4,655,771	04/07/1987	Wallsten			
	AI	4,665,918	05/19/1987	Garza et al.			
	AJ	4,795,458	01/03/1989	Regan			
	AK	4,800,882	01/31/1989	Gianurco			
	AL	4,848,343	07/18/1989	Wallsten et al.			
	AM	4,872,874	10/10/1989	Taheri			
	AN	4,878,906	11/07/1989	Lindemann et al.			
	AO	3,105,492	10/01/1963	Jeckel			
	AP	3,272,204	09/13/1966	Artandi et al.			
	AQ	3,490,975	01/20/1970	Lightwood et al.			
	AR	3,562,820	02/16/1971	Braun			
	AS	3,635,215	01/18/1972	Shea et al.			
	AT	3,771,526	11/13/1973	Rudie			
AU	3,993,078	11/23/1976	Bergentz et al.				
AV	4,078,167	03/07/1978	Banas et al.				
AW	4,127,761	11/28/1978	Pauley et al.				
AX	4,130,904	12/26/1978	Whalen				
AY	4,164,045	09/14/1979	Bukros et al.				
EXAMINER <i>Ch</i>		DATE CONSIDERED <i>6/8/96</i>					
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-5605		Serial No: 03/511,076	
<b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	
REFERENCE DESIGNATION      U.S. PATENT DOCUMENTS							
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	AA	4,214,587	07/29/1980	Sakura, Jr.			
	AB	4,313,231	02/02/1982	Koyanada			
	AC	4,425,908	01/17/1984	Simon			
	AD	4,501,264	02/26/1985	Rockey			
	AE	4,535,770	08/20/1985	Lemole			
	AF	4,580,568	04/08/1986	Gianurzo			
	AG	4,597,389	07/01/1986	Ibrahim et al.			
	AH	4,655,776	04/07/1987	Lesinski			
	AI	4,793,458	01/03/1989	Regan			
	AJ	4,793,465	01/03/1989	Marten			
	AK	4,842,575	06/27/1989	Hoffman, Jr. et al.			
	AL	4,851,009	07/25/1989	Pinchuk			
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EXAMINER				DATE CONSIDERED			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



FORM ITO-1449 (Modified)		ATTY DOCKET NO: 563.2-5605	Serial No: 08/511,076
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: Brian J. Brown, Michael L. Davis	
		FILING DATE: August 3, 1995	Group: 330S
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)			
UB	CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.	
	CB	Self-expanding Stainless Steel Biliary Stents', By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 5, Part 2, pp. 979-983.	
	CC	Technical Note: Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.	
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.	
	CE	Expandable Biliary Endoprosthesis: An Experimental Study, By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.	
	CF	Gianturco Expandable Metallic Biliary Stents: Results of a European Clinical Trial', By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.	
	CG	Tracheobronchial Tree: Expandable Metallic Stents Used in Experimental and Clinical Applications', Work In Progress, By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.	
	CH	Brochure Entitled AVE MICRO STENT™, Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.	
UB	CI	Brochure Entitled MICRO STENT™, By Applied Vascular Engineering, Inc.	
	CJ		
	CK		
	CL		
	CM		
	CN		
	CO		
	CP		
	CQ		
EXAMINER <i>jk</i>		DATE CONSIDERED 11/27/96	
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with NIEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)			<b>ATTY DOCKET NO.:</b> S63.2N-9969-US		<b>APPLICATION NO.:</b> 09/878596	
			<b>APPLICANT:</b> Brian J. Brown et al.			
			<b>FILING DATE:</b> June 11, 2001		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	5716365	2/10/98	Goicoechea et al.	606/108	
	AB	5855600	1/5/99	Alt	623/1	
	AC	5868780	2/9/99	Lashinski et al.	606/198	
	AD	5895407	4/20/99	Jayaraman	606/198	
	AE	5913895	6/22/99	Burpee et al.	623/1	
	AF	6053941	4/25/00	Lindenberg et al.	623/1	
	AG	6183506	2/6/01	Penn et al.	623/1.15	
	AH	6193747	2/27/01	Von Oepen	623/1.15	
V2	AI	6231598	5/15/01	Berry et al.	623/1.15	
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY
V4	BA	0 796 597 A2	9/24/97	EP
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	BC			
	BD			
	BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)		
	CA	
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<b>EXAMINER</b> <i>gde</i>	<b>DATE CONSIDERED</b> <i>u/p 2/02</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		<b>ATTY DOCKET NO.:</b> S63.2N-9969-US	<b>APPLICATION NO.:</b> 09/878596
<b>APPLICANT:</b> Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz		<b>FILING DATE:</b> June 11, 2001	
<b>GROUP:</b> 3731			

REFERENCE DESIGNATION		U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS				
EXAM'S INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
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FOREIGN PATENT DOCUMENTS			
DOCUMENT NUMBER	DATE	COUNTRY	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)		
b	CA	Starck, E., "First Clinical Experience with the Memotherm Vascular Stent", <i>STENTS State of the Art Future Developments</i> , pages 59-62 (June 1995).
v	CB	Melzer, A. et al., "Performance Improvement of Surgical Instrumentation Through the Use of Ni-Ti Materials, <i>Proceedings of SMST-94 The First International Conference on Shape Memory and Superelastic Technologies</i> , pages 401-409 (March 7-10, 1994).
	CC	

<b>EXAMINER</b>	<b>DATE CONSIDERED</b> <u>11/27/06</u>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)			<b>ATTY DOCKET NO.:</b> S63.2N-9969-US		<b>APPLICATION NO.:</b> 09/878596	
			<b>APPLICANT:</b> Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
			<b>FILING DATE:</b> June 11, 2001		<b>GROUP:</b> 3731	
<b>REFERENCE DESIGNATION</b>			<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
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<b>FOREIGN PATENT DOCUMENTS</b>						
		DOCUMENT NUMBER	DATE	COUNTRY		
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	BC					
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	BE					
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)</b>						
	CA					
	CB					
	CC					
EXAMINER <i>C. J. [Signature]</i>			DATE CONSIDERED <i>11/27/06</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-6769		<b>APPLICATION NO.:</b> 09/197,278	
				<b>APPLICANT:</b> Brian J. Brown et al.			
				<b>FILING DATE:</b> November 20, 1998		<b>GROUP:</b> 3731	

REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS		
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
UB	AA	6,068,656	5/30/00	Von Oepen	623/1.17	
VB	AB	6,129,755	10/10/00	Mathis et al.	623/1.15	
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	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY
	BA			
	BB			
	BC			
	BD			
	BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
DB	CA	SMART <sup>TM</sup> Stent Brochure, Cordis, a Johnson & Johnson company, date unknown.
	CB	
	CC	

<b>EXAMINER</b> <span style="float: right;">21</span>	<b>DATE CONSIDERED</b> <span style="float: right;">11/27/06</span>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



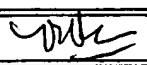


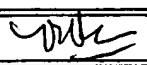


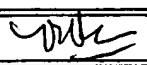
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)			ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO.: 09/878596	
			APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
			FILING DATE: June 11, 2001		GROUP: 3731	
REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
VB	AA	6,348,065	2/19/02	Brown et al.	623/1.16	
VB	AB	2001/0056298 A1	12/27/01	Brown et al.	623/1.16	
VB	AC	2002/0177893 A1	11/28/02	Brown et al.	623/1.16	
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FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY		
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Ect.)						
	CA					
	CB					
	CC					
EXAMINER <i>VB</i>			DATE CONSIDERED <i>6/27/06</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

UNPUBLISHED US APPLICATIONS		ATTY DOCKET NO.: S63.2N-9969-US		APPLICATION NO. 09/878596	
		APPLICANT: Brian J. Brown, Michael Davis, David Friesen, Timothy J. Ley and Sean P. Skubitz			
		FILING DATE: June 11, 2001		GROUP: 3731	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT.		APPLICATION NO.	FILING DATE	INVENTOR(S)	
UB	DA	08/396569	3/1/95	Brown	
UB	DB	09/599674	6/22/00	Brown et al.	
UB	DC	09/666,866	9/20/00	Brown et al.	
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EXAMINER <i>eply</i>				DATE CONSIDERED 11/27/06	
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

<b>Title of Invention</b>	<b>IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT</b>																												
 Application Number: 09/878596 *09/878596* Confirmation Number: 4515 First Named Applicant: Brian Brown Attorney Docket Number: S63.2N-6769-US02 Art Unit: 3731 Examiner: Vy Q. Bui Search string: ( 5855597 or 6395020 ).pn.  <b>US Patent Documents</b>  Note: Applicant is not required to submit a paper copy of cited US Patent Documents <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>5855597</td><td>1999-01-05</td><td>Jayaraman</td><td></td><td>623</td><td>1</td></tr><tr><td></td><td>2</td><td>6395020</td><td>2002-05-28</td><td>Ley et al.</td><td>B1</td><td>623</td><td>1.15</td></tr></tbody></table> <b>Signature</b> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td></td><td>1/17/06</td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	5855597	1999-01-05	Jayaraman		623	1		2	6395020	2002-05-28	Ley et al.	B1	623	1.15	Examiner Name	Date		1/17/06
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	2	6395020	2002-05-28	Ley et al.	B1	623	1.15																						
Examiner Name	Date																												
	1/17/06																												






# Electronic Information Disclosure Statement

## IMPROVED LONGITUDINALLY FLEXIBLE EXPANDABLE STENT

Application: \*09/197278\*  
 09/197278  
 Confirmation: 1094  
 Applicant(s): Brian Brown  
 Docket Number: S63.2-6769  
 Group Art Unit: 3731  
 Examiner: Vy Q. Bui  
 search string: ( 5609627 or 5716393 or 6231598 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5609627	1997-03-11	*5609627*	Goicoechea et al.	128	898
	P02	5716393	1998-02-10	*5716393*	Lindenberg et al.	623	1
	P03	6231598	2001-05-15	*6231598*	Berry et al.	623	1.15

### Remarks

(Remarks are not for responding to an office action.)

This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. section 1.97 (b) or otherwise because to the knowledge of the undersigned attorney it is being filed before the mailing date of a first Office Action after the filing of a request for continued examination (RCE) under section 1.114. Nevertheless, if any fee is due with the submission of this IDS, please charge

<b>Notice of References Cited</b>		Application No. <b>09/197,278</b>		Applicant(s) <b>Brown et al.</b>	
		Examiner <b>Lien Ngo</b>		Group Art Unit <b>3731</b>	Page 1 of 1

U.S. PATENT DOCUMENTS					
	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,800,521	9/1998	Orth	623	1
B	6,017,365	9/1998	Von Oepen	623	1
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FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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NON-PATENT DOCUMENTS	
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)
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X	<i>Wm</i> <i>11/27/98</i>

<b>Notice of References Cited</b>	Application/Control No. 09/878,596	Applicant(s)/Patent Under Reexamination BROWN ET AL.	
	Examiner Vy Q. Bui	Art Unit 3731	Page 1 of 1

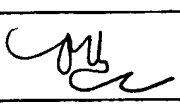
**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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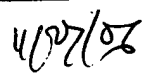
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